

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Takamasa Iwaki et al.

Serial No.:

10/539,870

Filed:

December 5, 2005

For:

Floor Mat and Small Animal Rearing Cage

Examiner:

Son T. Nguyen

Art Unit:

3643

INFORMATION DISCLOSURE STATEMENT

[]1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]

[x] 2 Certification Pursuant to 37 CFR 1.97(c)

[before Final Office Action or Allowance]

[] 3 Fee Payment Pursuant to 37 CFR 1.97(c)

[before Final Office Action or Allowance]

[] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [before issue fee payment]

Hon. Commissioner for Patents Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

[XX] 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- [x] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
 - [x] 8 The required certification made in item 11 below; or
 - [] 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- [] 10 37 CFR§ 1.97(d): [before issue fee payment]; and
 - (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$180.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files,

Batch	, [Date of A	llowance	"),	and
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(b) The required Certification is stated in item 11 below.

[X] 11 Certification

- [x] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[XX] 14 If any fees are due, please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 05-2828. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,

RY.

Jack Schwartz
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Jack Schwartz & Associates, PLLC 1350 Broadway, Suite 1510 New York, NY 10018 April 23, 2007

PTO/SB/08a (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

APR 2 6 2007

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/539,870
Filing Date June 17, 2005
First Named Inventor Takamasa Iwaki
Art Unit NA
Examiner Name NA
Attorney Docket Number 1083-9

(Use as many sheets as necessary)

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U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (d known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ 'Number ⁴ 'Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
_		JP-2004-344137-A (JP-3902154-B)	12/9/2004	Globe, Inc.		✓
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Examiner	Date	* * .
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patient Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patient document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.